

BMO Capital Markets Corp - Held NMS Stocks and Options Order Routing Public Report

Generated on Thu Jun 29 2023 15:46:18 GMT-0400 (Eastern Daylight Time)

4th Quarter, 2021

October 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

October 2021

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

October 2021

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
PHILADELPHIA OPTIONS EXCHANGE (PHLX)	14.42	0.00	0.00	0.00	14.42	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,193.1500	0.0690
INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX)	14.23	0.00	0.00	0.00	14.23	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	19,477.8800	0.0885
CBOE GLOBAL MARKETS INC. (CBOE)	13.38	0.00	0.00	0.00	13.38	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	5,058.6300	0.0574
NYSE AMEX OPTIONS (AMEX)	9.49	0.00	0.00	0.00	9.49	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	121.0600	0.0001
NYSE ARCA OPTIONS (ARCA)	8.10	0.00	0.00	0.00	8.10	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-4,660.7800	-0.2507
CBOE BZX OPTIONS EXCHANGE (BATO)	5.15	0.00	0.00	0.00	5.15	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	7,845.1000	0.3581
MIAMI INTERNATIONAL SECURITIES EXCHANGE (MIAX)	4.88	0.00	0.00	0.00	4.88	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	86.6200	0.0224
CBOE C2 OPTIONS EXCHANGE (C2OX)	4.40	0.00	0.00	0.00	4.40	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	509.7100	0.0574
NASDAQ OPTIONS MARKET (NSDQ)	4.15	0.00	0.00	0.00	4.15	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	3,395.2100	0.4744
MIAX PEARL, LLC (MPRL)	4.13	0.00	0.00	0.00	4.13	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	914.0600	0.2265
CBOE EDGX OPTIONS EXCHANGE (EDGO)	3.55	0.00	0.00	0.00	3.55	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-1,232.2500	-0.0873
ISE GEMINI EXCHANGE (GMNI)	3.45	0.00	0.00	0.00	3.45	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,653.6000	0.3432
MIAX EMERALD, LLC (EMLD)	3.44	0.00	0.00	0.00	3.44	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-285.6700	-0.0968

Material Aspects:

PHILADELPHIA OPTIONS EXCHANGE (PHLX):

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1683050227/3119/>

INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX):

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1683050227/3119/>

ISE GEMINI EXCHANGE (GMNI):

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MIAX EMERALD, LLC (EMLD):

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1683050227/3119/>

November 2021

S&P 500 Stocks Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

November 2021

Non-S&P 500 Stocks Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Material Aspects:

November 2021

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX)	14.00	0.00	0.00	0.00	14.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	22,633.8500	0.0720
CBOE GLOBAL MARKETS INC. (CBOE)	12.43	0.00	0.00	0.00	12.43	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	10,321.4800	0.0754
NYSE AMEX OPTIONS (AMEX)	12.26	0.00	0.00	0.00	12.26	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	932.1500	0.0011
PHILADELPHIA OPTIONS EXCHANGE (PHLX)	9.70	0.00	0.00	0.00	9.70	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,546.5300	0.0374
NYSE ARCA OPTIONS (ARCA)	8.36	0.00	0.00	0.00	8.36	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-7,904.3100	-0.2259
CBOE C2 OPTIONS EXCHANGE (C2OX)	6.64	0.00	0.00	0.00	6.64	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-3,279.9400	-0.0938
MIAMI INTERNATIONAL SECURITIES EXCHANGE (MIAX)	6.19	0.00	0.00	0.00	6.19	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	490.5100	0.0459
CBOE EDGX OPTIONS EXCHANGE (EDGO)	5.87	0.00	0.00	0.00	5.87	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-4,904.6800	-0.1495
CBOE BZX OPTIONS EXCHANGE (BATO)	5.40	0.00	0.00	0.00	5.40	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	8,862.3000	0.2477
MIAX EMERALD, LLC (EMLD)	3.41	0.00	0.00	0.00	3.41	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-1,582.3100	-0.1469
BOX OPTIONS EXCHANGE (BOX)	3.34	0.00	0.00	0.00	3.34	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-1,781.0700	-0.0600
NASDAQ OPTIONS MARKET (NSDQ)	3.32	0.00	0.00	0.00	3.32	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	7,263.3000	0.5218

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1683050227/3119/>

MIAX EMERALD, LLC (EMLD):

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BOX OPTIONS EXCHANGE (BOX):

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1683050170/3118/>

NASDAQ OPTIONS MARKET (NSDQ):

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December 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

December 2021

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Material Aspects:

December 2021

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX)	15.32	0.00	0.00	0.00	15.32	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	37,869.6500	0.0823
CBOE GLOBAL MARKETS INC. (CBOE)	13.64	0.00	0.00	0.00	13.64	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	9,200.5300	0.0563
NYSE AMEX OPTIONS (AMEX)	11.56	0.00	0.00	0.00	11.56	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	686.7800	0.0008
PHILADELPHIA OPTIONS EXCHANGE (PHLX)	10.80	0.00	0.00	0.00	10.80	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,673.5400	0.0579
NYSE ARCA OPTIONS (ARCA)	10.15	0.00	0.00	0.00	10.15	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-10,117.1100	-0.2058
CBOE EDGX OPTIONS EXCHANGE (EDGO)	6.39	0.00	0.00	0.00	6.39	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-7,012.1000	-0.1385
CBOE C2 OPTIONS EXCHANGE (C2OX)	5.44	0.00	0.00	0.00	5.44	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-3,645.2500	-0.1036
MIAMI INTERNATIONAL SECURITIES EXCHANGE (MIAX)	5.43	0.00	0.00	0.00	5.43	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	603.5800	0.0454
CBOE BZX OPTIONS EXCHANGE (BATO)	5.21	0.00	0.00	0.00	5.21	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	9,028.3500	0.2827

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
BOX OPTIONS EXCHANGE (BOX)	3.05	0.00	0.00	0.00	3.05	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-962.3300	-0.0267
NASDAQ OPTIONS MARKET (NSDQ)	2.66	0.00	0.00	0.00	2.66	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	6,192.2600	0.4953
MIAX PEARL, LLC (MPRL)	2.62	0.00	0.00	0.00	2.62	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,285.2900	0.2150

Material Aspects:

INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX):

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1683050227/3119/>

CBOE GLOBAL MARKETS INC. (CBOE):

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NYSE AMEX OPTIONS (AMEX):

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PHILADELPHIA OPTIONS EXCHANGE (PHLX):

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NYSE ARCA OPTIONS (ARCA):

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CBOE EDGX OPTIONS EXCHANGE (EDGO):

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CBOE C2 OPTIONS EXCHANGE (C2OX):

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MIAMI INTERNATIONAL SECURITIES EXCHANGE (MIAX):

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CBOE BZX OPTIONS EXCHANGE (BATO):

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BOX OPTIONS EXCHANGE (BOX):

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NASDAQ OPTIONS MARKET (NSDQ):

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MIAX PEARL, LLC (MPRL):

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