

BMO Capital Markets Corp. SEC Rule 606 Disclosure Statement

BMO Capital Markets Corp. ("BMO CMC" or "the Firm") is providing this report pursuant to Securities and Exchange Commission ("SEC" or "Commission") Rule 606 ("the Rule"). To obtain information about the rule, you may access the following web site addresses: <http://www.sec.gov/rules/final/34-43590.htm> and <http://www.sec.gov/interps/legal/mrslb13a.htm>.

Information Concerning Venues

BMO CMC makes a market in numerous equity securities, including those listed on the NASDAQ, NYSE, NYSE ARCA and BATS exchanges. BMO CMC, in its efforts to seek best execution, routes client orders to market centers, including national securities exchanges, alternative trading systems, electronic communication networks and broker/dealers. These market centers may offer credits/rebates or charge fees based upon whether routed orders contribute liquidity to (or remove liquidity from) the market center. In some cases, the credits/rebates offered by a market center may exceed the charges assessed such that a market center may make a payment to BMO CMC in relation to orders directed to such market center (maximum 33.5 mils per share). BMO CMC may also receive incremental pricing benefits from market centers based upon the aggregate trading volume generated by BMO CMC (including volume not associated with client orders).

BMO CMC may internalize, and trade as principal with, non-directed customer orders in such securities in which it makes a market. Where BMO CMC internalizes non-directed customer orders in those securities in which it makes a market, the Firm stands to share in 100% profits of whatever profits it generates by trading as principal with its customer's orders.

Notes regarding Conflicts of Interest relating to Market Centers Most Often Selected by the Firm

BMO CMC, in its efforts to seek best execution, selects execution venues for customer orders that have not been specifically instructed to be routed to a specific venue. For such non-directed customer orders, BMO CMC may route such orders to its affiliate, Clearpool Execution Services. Accordingly, the Firm may share indirectly in any profits that Clearpool Execution Services derives from the execution of the Firm's client orders.

Important Disclaimers

BMO CMC has supplied this data and prepared these reports solely for informational purposes pursuant to Rule 606 of the Securities Exchange Act of 1934. The information and data provided in the reports is not intended to and do not reflect all factors relevant to an analysis of a broker/dealer's best execution and order routing practices. In accordance with SEC Rule 606, upon written request, BMO CMC will disclose to clients the identity of the venue to which clients orders were routed for execution, whether the orders were directed or non-directed, and the time of the executions, if any, that resulted from such orders from the previous six months.

BMO Capital Markets Corp - Held NMS Stocks and Options Order Routing Public Report

Generated on Thu Oct 26 2023 15:23:53 GMT-0400 (Eastern Daylight Time)

3rd Quarter, 2023

July 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

July 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

July 2023

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE AMEX OPTIONS (AMEX)	13.74	0.00	0.00	0.00	13.74	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	54,497.4000	0.1291
CBOE EDGX OPTIONS EXCHANGE (EDGO)	8.50	0.00	0.00	0.00	8.50	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,726.0890	0.0002
INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX)	7.67	0.00	0.00	0.00	7.67	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	3,065.1910	0.0010
ISE MERCURY, LLC (MCRY)	7.06	0.00	0.00	0.00	7.06	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	534.2000	0.0001
MIAMI INTERNATIONAL SECURITIES EXCHANGE (MIAX)	7.01	0.00	0.00	0.00	7.01	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,847.9800	0.0020
BOX OPTIONS EXCHANGE (BOX)	6.91	0.00	0.00	0.00	6.91	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	322.0500	0.0001
NYSE ARCA OPTIONS (ARCA)	6.69	0.00	0.00	0.00	6.69	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	889.1400	0.0007
CBOE GLOBAL MARKETS INC. (CBOE)	6.48	0.00	0.00	0.00	6.48	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	3,021.6225	0.0017
ISE GEMINI EXCHANGE (GMNI)	5.50	0.00	0.00	0.00	5.50	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-521.1300	-0.0002
NASDAQ OPTIONS MARKET (NSDQ)	5.45	0.00	0.00	0.00	5.45	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	7,302.8700	0.0049
MIAX EMERALD, LLC (EMLD)	5.40	0.00	0.00	0.00	5.40	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,942.9500	0.0014
CBOE C2 OPTIONS EXCHANGE (C2OX)	5.32	0.00	0.00	0.00	5.32	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,331.2800	0.0013
PHILADELPHIA OPTIONS EXCHANGE (PHLX)	4.54	0.00	0.00	0.00	4.54	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,661.1200	0.0018

Material Aspects:

CBOE EDGX OPTIONS EXCHANGE (EDGO):

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1697746385/3321/>

INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX):

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1697746385/3321/>

August 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

August 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

August 2023

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE AMEX OPTIONS (AMEX)	12.85	0.00	0.00	0.00	12.85	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	52,398.3500	0.0010
INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX)	9.99	0.00	0.00	0.00	9.99	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,097.5900	0.0008
CBOE GLOBAL MARKETS INC. (CBOE)	9.58	0.00	0.00	0.00	9.58	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	5,510.0300	0.0014
BOX OPTIONS EXCHANGE (BOX)	8.26	0.00	0.00	0.00	8.26	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	800.7000	0.0003
ISE MERCURY, LLC (MCRY)	8.16	0.00	0.00	0.00	8.16	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	759.3000	0.0001
CBOE EDGX OPTIONS EXCHANGE (EDGO)	7.83	0.00	0.00	0.00	7.83	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,537.6600	0.0008
MIAMI INTERNATIONAL SECURITIES EXCHANGE (MIAX)	6.79	0.00	0.00	0.00	6.79	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,286.3900	0.0006
NYSE ARCA OPTIONS (ARCA)	6.52	0.00	0.00	0.00	6.52	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-2,334.3800	-0.0021
PHILADELPHIA OPTIONS EXCHANGE (PHLX)	6.16	0.00	0.00	0.00	6.16	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,291.1800	0.0015
MIAX EMERALD, LLC (EMLD)	5.50	0.00	0.00	0.00	5.50	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,541.2500	0.0010
CBOE C2 OPTIONS EXCHANGE (C2OX)	4.73	0.00	0.00	0.00	4.73	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,722.1000	0.0029
ISE GEMINI EXCHANGE (GMNI)	4.32	0.00	0.00	0.00	4.32	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-1,173.2900	-0.0005

Material Aspects:

INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX):

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1697746385/3321/>

CBOE GLOBAL MARKETS INC. (CBOE):

September 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

September 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

September 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE AMEX OPTIONS (AMEX)	13.45	0.00	0.00	0.00	13.45	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	52,431.0000	0.0009
BOX OPTIONS EXCHANGE (BOX)	8.94	0.00	0.00	0.00	8.94	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	878.4000	0.0003
CBOE EDGX OPTIONS EXCHANGE (EDGO)	8.87	0.00	0.00	0.00	8.87	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,358.5700	0.0003
PHILADELPHIA OPTIONS EXCHANGE (PHLX)	8.87	0.00	0.00	0.00	8.87	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	739.4700	0.0006
INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX)	8.40	0.00	0.00	0.00	8.40	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	711.3800	0.0001
MIAMI INTERNATIONAL SECURITIES EXCHANGE (MIAX)	7.60	0.00	0.00	0.00	7.60	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,284.2300	0.0007
MIAX EMERALD, LLC (EMLD)	7.46	0.00	0.00	0.00	7.46	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,178.4500	0.0008
NYSE ARCA OPTIONS (ARCA)	7.39	0.00	0.00	0.00	7.39	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-849.3100	-0.0007
ISE MERCURY, LLC (MCRY)	6.93	0.00	0.00	0.00	6.93	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	184.3000	0.0000
CBOE GLOBAL MARKETS INC. (CBOE)	6.44	0.00	0.00	0.00	6.44	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,166.6850	0.0002
CBOE C2 OPTIONS EXCHANGE (C2OX)	5.33	0.00	0.00	0.00	5.33	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	3,292.0700	0.0027
NASDAQ OPTIONS MARKET (NSDQ)	3.08	0.00	0.00	0.00	3.08	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	4,766.4400	0.0035

Material Aspects:

BOX OPTIONS EXCHANGE (BOX):

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1697746385/3321/>

CBOE EDGX OPTIONS EXCHANGE (EDGO):

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PHILADELPHIA OPTIONS EXCHANGE (PHLX):

