

SEC Rule 606 Report - BMO Capital Markets - 3rd Qtr, 2018

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Stock Exchange Euronext

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	2.06	0.01	97.94	61,789	1,270	4	60,515	0	2.06	0.01	97.94
Clearpool Execution Services	38.59	0.00	0.00	39.41	23,846	0	0	23,846	0	0.00	0.00	100.00
BMO Capital Markets Corp.	17.36	30.87	100.00	17.07	10,726	392	4	10,330	0	3.65	0.04	96.31
New York Stock Exchange	10.24	10.08	0.00	10.24	6,327	128	0	6,199	0	2.02	0.00	97.98
NYSE MKT	9.67	37.95	0.00	9.08	5,977	482	0	5,495	0	8.06	0.00	91.94
Nesbitt Burns, Inc.	4.59	0.08	0.00	4.69	2,839	1	0	2,838	0	0.04	0.00	99.96
SpeedRoute LLC.	3.95	0.00	0.00	4.04	2,442	0	0	2,442	0	0.00	0.00	100.00
NYSE Arca	2.60	0.00	0.00	2.65	1,604	0	0	1,604	0	0.00	0.00	100.00
Credit Suisse Securities (USA) LLC	2.54	0.00	0.00	2.59	1,567	0	0	1,567	0	0.00	0.00	100.00
VIRTU Americas LLC	2.53	0.00	0.00	2.58	1,564	0	0	1,564	0	0.00	0.00	100.00
BATS Stock Exchange	2.01	0.00	0.00	2.05	1,240	0	0	1,240	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.00	0.00	100.00	39,939	0	1	39,938	0	0.00	0.00	100.00
Clearpool Execution Services	54.93	0.00	0.00	54.93	21,937	0	0	21,937	0	0.00	0.00	100.00
BMO Capital Markets Corp.	19.56	0.00	0.00	19.56	7,813	0	0	7,813	0	0.00	0.00	100.00
Nasdaq Execution Services	7.79	0.00	0.00	7.79	3,112	0	0	3,112	0	0.00	0.00	100.00
Credit Suisse Securities (USA) LLC	3.74	0.00	0.00	3.74	1,494	0	0	1,494	0	0.00	0.00	100.00
SpeedRoute LLC.	3.15	0.00	0.00	3.15	1,258	0	0	1,258	0	0.00	0.00	100.00
VIRTU Americas LLC	2.81	0.00	0.00	2.81	1,121	0	0	1,121	0	0.00	0.00	100.00
BATS Stock Exchange	2.67	0.00	0.00	2.67	1,068	0	0	1,068	0	0.00	0.00	100.00
DirectEdge ECN (EDGA)	1.00	0.00	0.00	1.00	400	0	0	400	0	0.00	0.00	100.00
Nesbitt Burns, Inc.	0.74	0.00	0.00	0.74	294	0	0	294	0	0.00	0.00	100.00
NYSE Arca	0.61	0.00	0.00	0.61	244	0	0	244	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.00	0.00	100.00	2,521	0	0	2,521	0	0.00	0.00	100.00
Clearpool Execution Services	33.16	0.00	0.00	33.16	836	0	0	836	0	0.00	0.00	100.00
Nesbitt Burns, Inc.	28.04	0.00	0.00	28.04	707	0	0	707	0	0.00	0.00	100.00
BMO Capital Markets Corp.	7.85	0.00	0.00	7.85	198	0	0	198	0	0.00	0.00	100.00
NYSE Arca	5.91	0.00	0.00	5.91	149	0	0	149	0	0.00	0.00	100.00
SpeedRoute LLC.	5.24	0.00	0.00	5.24	132	0	0	132	0	0.00	0.00	100.00
NYSE MKT	5.16	0.00	0.00	5.16	130	0	0	130	0	0.00	0.00	100.00
Puma Capital, LLC.	3.73	0.00	0.00	3.73	94	0	0	94	0	0.00	0.00	100.00
VIRTU Americas LLC	2.50	0.00	0.00	2.50	63	0	0	63	0	0.00	0.00	100.00
Credit Suisse Securities (USA) LLC	1.90	0.00	0.00	1.90	48	0	0	48	0	0.00	0.00	100.00
BATS Y Exchange	1.11	0.00	0.00	1.11	28	0	0	28	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	13.09	45.91	41.01	2,346	307	1,077	962	0	13.09	45.91	41.01
Puma Capital, LLC.	56.65	2.93	47.54	83.99	1,329	9	512	808	0	0.68	38.53	60.80
DASH FINANCIAL TECHNOLOGIES LLC	20.03	13.36	38.90	1.04	470	41	419	10	0	8.72	89.15	2.13
NYSE MKT	13.77	66.12	7.80	3.74	323	203	84	36	0	62.85	26.01	11.15
Wolverine Execution Svc.,	2.60	14.01	1.67	0.00	61	43	18	0	0	70.49	29.51	0.00
ICAP Corporates LLC	2.05	0.00	0.09	4.89	48	0	1	47	0	0.00	2.08	97.92
Dynamex Trading	2.00	2.28	0.00	4.16	47	7	0	40	0	14.89	0.00	85.11
ISE Gemini	1.28	0.00	2.79	0.00	30	0	30	0	0	0.00	100.00	0.00
GFI Group	0.60	0.00	0.84	0.52	14	0	9	5	0	0.00	64.29	35.71
BMO Capital Markets Corp.	0.55	0.00	0.09	1.25	13	0	1	12	0	0.00	7.69	92.31
BAYW	0.17	0.98	0.09	0.00	4	3	1	0	0	75.00	25.00	0.00

100.00% of total customer orders were non-directed orders.

[Material Aspects of Relationship with Route Venues](#)
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*Unknown is part of Other.

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