

SEC Rule 606 Report - BMO Capital Markets - 1st Qtr, 2019

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Stock Exchange Euronext

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.33	0.00	99.67	85,590	283	3	85,304	0	0.33	0.00	99.67
Clearpool Execution Services	27.24	0.00	66.67	27.33	23,312	0	2	23,310	0	0.00	0.01	99.99
BMO Capital Markets Corp.	26.05	39.58	0.00	26.00	22,293	112	0	22,181	0	0.50	0.00	99.50
NYSE MKT	23.17	0.00	0.00	23.24	19,827	0	0	19,827	0	0.00	0.00	100.00
SpeedRoute LLC.	6.45	0.00	0.00	6.47	5,517	0	0	5,517	0	0.00	0.00	100.00
Nesbitt Burns, Inc.	4.38	0.00	33.33	4.39	3,746	0	1	3,745	0	0.00	0.03	99.97
Credit Suisse Securities (USA) LLC	3.53	0.00	0.00	3.54	3,018	0	0	3,018	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.00	0.00	100.00	54,457	0	0	54,457	0	0.00	0.00	100.00
BMO Capital Markets Corp.	43.53	0.00	0.00	43.53	23,707	0	0	23,707	0	0.00	0.00	100.00
Clearpool Execution Services	36.37	0.00	0.00	36.37	19,804	0	0	19,804	0	0.00	0.00	100.00
SpeedRoute LLC.	7.15	0.00	0.00	7.15	3,895	0	0	3,895	0	0.00	0.00	100.00
Credit Suisse Securities (USA) LLC	5.37	0.00	0.00	5.37	2,925	0	0	2,925	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.00	0.02	99.98	4,025	0	1	4,024	0	0.00	0.02	99.98
Nesbitt Burns, Inc.	22.34	0.00	0.00	22.34	899	0	0	899	0	0.00	0.00	100.00
Clearpool Execution Services	19.18	0.00	0.00	19.18	772	0	0	772	0	0.00	0.00	100.00
BMO Capital Markets Corp.	17.81	0.00	0.00	17.82	717	0	0	717	0	0.00	0.00	100.00
SpeedRoute LLC.	13.79	0.00	0.00	13.79	555	0	0	555	0	0.00	0.00	100.00
NYSE MKT	7.03	0.00	100.00	7.01	283	0	1	282	0	0.00	0.35	99.65
VIRTU Americas LLC	4.99	0.00	0.00	5.00	201	0	0	201	0	0.00	0.00	100.00
NYSE Arca	3.98	0.00	0.00	3.98	160	0	0	160	0	0.00	0.00	100.00
Credit Suisse Securities (USA) LLC	3.23	0.00	0.00	3.23	130	0	0	130	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	33.84	39.88	26.29	2,781	941	1,109	731	0	33.84	39.88	26.29
NYSE MKT	30.60	68.33	8.75	15.18	851	643	97	111	0	75.56	11.40	13.04
DASH FINANCIAL TECHNOLOGIES LLC	26.32	12.11	34.81	31.74	732	114	386	232	0	15.57	52.73	31.69
Puma Capital, LLC.	24.70	1.38	42.92	27.09	687	13	476	198	0	1.89	69.29	28.82
Wolverine Execution Svc.,	7.52	13.18	3.25	6.70	209	124	36	49	0	59.33	17.22	23.44
ISE Gemini	3.81	0.00	9.56	0.00	106	0	106	0	0	0.00	100.00	0.00

100.00% of total customer orders were non-directed orders.

[Material Aspects of Relationship with Route Venues](#)[Material Aspects of Relationship with Route Venues-PDF material aspects](#)
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* Unknown is part of Other.

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