

SEC Rule 606 Report - BMO Capital Markets - 4th Qtr, 2019

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Stock Exchange Euronext

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.13	0.00	99.87	90,081	113	1	89,967	0	0.13	0.00	99.87
Credit Suisse Securities (USA) LLC	35.21	0.00	0.00	35.25	31,715	0	0	31,715	0	0.00	0.00	100.00
NYSE American, LLC	19.15	0.00	0.00	19.17	17,251	0	0	17,251	0	0.00	0.00	100.00
New York Stock Exchange, LLC	18.38	50.44	0.00	18.34	16,557	57	0	16,500	0	0.34	0.00	99.66
Clearpool Execution Services	16.09	0.00	0.00	16.11	14,498	0	0	14,498	0	0.00	0.00	100.00
SpeedRoute LLC.	3.84	0.00	0.00	3.85	3,461	0	0	3,461	0	0.00	0.00	100.00
Nesbitt Burns, Inc.	3.73	0.00	0.00	3.74	3,364	0	0	3,364	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.00	0.00	100.00	70,279	0	1	70,278	0	0.00	0.00	100.00
Credit Suisse Securities (USA) LLC	41.41	0.00	0.00	41.41	29,103	0	0	29,103	0	0.00	0.00	100.00
Nasdaq Execution Services	32.74	0.00	100.00	32.74	23,011	0	1	23,010	0	0.00	0.00	100.00
Clearpool Execution Services	19.22	0.00	0.00	19.22	13,509	0	0	13,509	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.00	0.00	100.00	3,867	0	0	3,867	0	0.00	0.00	100.00
Clearpool Execution Services	20.87	0.00	0.00	20.87	807	0	0	807	0	0.00	0.00	100.00
Nesbitt Burns, Inc.	19.08	0.00	0.00	19.08	738	0	0	738	0	0.00	0.00	100.00
Credit Suisse Securities (USA) LLC	18.64	0.00	0.00	18.64	721	0	0	721	0	0.00	0.00	100.00
NYSE American, LLC	14.17	0.00	0.00	14.17	548	0	0	548	0	0.00	0.00	100.00
SpeedRoute LLC.	14.04	0.00	0.00	14.04	543	0	0	543	0	0.00	0.00	100.00
NYSE Arca	4.16	0.00	0.00	4.16	161	0	0	161	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	14.97	58.17	26.85	3,493	523	2,032	938	0	14.97	58.17	26.85
Puma Capital, LLC.	31.61	0.38	24.80	63.75	1,104	2	504	598	0	0.18	45.65	54.17
DASH FINANCIAL TECHNOLOGIES LLC	31.52	0.38	48.72	11.62	1,101	2	990	109	0	0.18	89.92	9.90
NYSE American, LLC	18.24	86.81	4.58	9.59	637	454	93	90	0	71.27	14.60	14.13
Wolverine Execution Svc.,	11.34	10.33	15.99	1.81	396	54	325	17	0	13.64	82.07	4.29

100.00% of total customer orders were non-directed orders.

[Material Aspects of Relationship with Route Venues](#)[Material Aspects of Relationship with Route Venues-PDF material aspects](#)
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*Unknown is part of Other.

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