

SEC Rule 606 Report - BMO Capital Markets - 4th Qtr, 2018

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Stock Exchange Euronext

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.57	0.00	99.43	88,985	503	2	88,480	0	0.57	0.00	99.43
Clearpool Execution Services	33.47	0.00	0.00	33.67	29,787	0	0	29,787	0	0.00	0.00	100.00
NYSE MKT	24.03	4.37	0.00	24.14	21,380	22	0	21,358	0	0.10	0.00	99.90
BMO Capital Markets Corp.	22.35	33.20	50.00	22.28	19,885	167	1	19,717	0	0.84	0.01	99.16
Nesbitt Burns, Inc.	3.84	0.00	50.00	3.86	3,416	0	1	3,415	0	0.00	0.03	99.97
SpeedRoute LLC.	3.82	0.00	0.00	3.84	3,397	0	0	3,397	0	0.00	0.00	100.00
New York Stock Exchange	3.63	0.60	0.00	3.65	3,230	3	0	3,227	0	0.09	0.00	99.91

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.00	0.00	99.99	57,680	2	1	57,677	0	0.00	0.00	99.99
Clearpool Execution Services	47.26	100.00	0.00	47.26	27,262	2	0	27,260	0	0.01	0.00	99.99
BMO Capital Markets Corp.	36.39	0.00	0.00	36.39	20,989	0	0	20,989	0	0.00	0.00	100.00
Nasdaq Execution Services	4.43	0.00	0.00	4.43	2,556	0	0	2,556	0	0.00	0.00	100.00
SpeedRoute LLC.	3.77	0.00	0.00	3.77	2,177	0	0	2,177	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.00	0.00	100.00	3,809	0	0	3,809	0	0.00	0.00	100.00
Clearpool Execution Services	32.16	0.00	0.00	32.16	1,225	0	0	1,225	0	0.00	0.00	100.00
Nesbitt Burns, Inc.	27.88	0.00	0.00	27.88	1,062	0	0	1,062	0	0.00	0.00	100.00
BMO Capital Markets Corp.	12.16	0.00	0.00	12.16	463	0	0	463	0	0.00	0.00	100.00
NYSE MKT	10.84	0.00	0.00	10.84	413	0	0	413	0	0.00	0.00	100.00
NYSE Arca	5.04	0.00	0.00	5.04	192	0	0	192	0	0.00	0.00	100.00
SpeedRoute LLC.	4.67	0.00	0.00	4.67	178	0	0	178	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	12.47	51.36	36.17	2,839	354	1,458	1,027	0	12.47	51.36	36.17
Puma Capital, LLC.	59.95	4.52	62.07	76.05	1,702	16	905	781	0	0.94	53.17	45.89
NYSE MKT	16.10	82.49	6.58	6.72	457	292	96	69	0	63.89	21.01	15.10
DASH FINANCIAL TECHNOLOGIES LLC	11.69	1.13	15.84	9.44	332	4	231	97	0	1.20	69.58	29.22
Wolverine Execution Serv	8.49	6.78	12.69	3.12	241	24	185	32	0	9.96	76.76	13.28

100.00% of total customer orders were non-directed orders.

[Material Aspects of Relationship with Route Venues](#)
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*Unknown is part of Other.

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