

## SEC Rule 606 Report - BMO Capital Markets - 1st Qtr, 2018

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

## Securities Listed on New York Stock Exchange Euronext

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100.00</b>	<b>0.35</b>	<b>0.00</b>	<b>99.65</b>	<b>96,028</b>	<b>335</b>	<b>2</b>	<b>95,691</b>	<b>0</b>	<b>0.35</b>	<b>0.00</b>	<b>99.65</b>
BMO Capital Markets Corp.	57.12	15.82	50.00	57.26	54,847	53	1	54,793	0	0.10	0.00	99.90
Clearpool Execution Services	19.97	0.00	0.00	20.04	19,178	0	0	19,178	0	0.00	0.00	100.00
New York Stock Exchange	5.04	33.73	0.00	4.94	4,836	113	0	4,723	0	2.34	0.00	97.66
VIRTU Americas LLC	3.60	0.00	0.00	3.61	3,455	0	0	3,455	0	0.00	0.00	100.00
Nesbitt Burns, Inc.	3.55	0.60	0.00	3.56	3,409	2	0	3,407	0	0.06	0.00	99.94
Tethys Technology, Inc.	2.99	0.00	0.00	3.00	2,873	0	0	2,873	0	0.00	0.00	100.00
Credit Suisse	2.63	0.00	0.00	2.64	2,530	0	0	2,530	0	0.00	0.00	100.00
AMER	2.53	48.36	0.00	2.37	2,430	162	0	2,268	0	6.67	0.00	93.33
ITG Inc.	1.98	0.00	0.00	1.99	1,902	0	0	1,902	0	0.00	0.00	100.00
SpeedRoute LLC.	1.74	0.00	0.00	1.75	1,672	0	0	1,672	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

## Securities Listed on The Nasdaq Stock Market

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100.00</b>	<b>0.00</b>	<b>0.01</b>	<b>99.99</b>	<b>46,566</b>	<b>0</b>	<b>3</b>	<b>46,563</b>	<b>0</b>	<b>0.00</b>	<b>0.01</b>	<b>99.99</b>
BMO Capital Markets Corp.	65.73	0.00	0.00	65.73	30,608	0	0	30,608	0	0.00	0.00	100.00
Clearpool Execution Services	19.01	0.00	0.00	19.02	8,854	0	0	8,854	0	0.00	0.00	100.00
VIRTU Americas LLC	4.31	0.00	0.00	4.31	2,008	0	0	2,008	0	0.00	0.00	100.00
ITG Inc.	3.05	0.00	0.00	3.05	1,420	0	0	1,420	0	0.00	0.00	100.00
Nasdaq Execution Services	2.71	0.00	66.67	2.70	1,261	0	2	1,259	0	0.00	0.16	99.84
Credit Suisse	2.27	0.00	0.00	2.27	1,057	0	0	1,057	0	0.00	0.00	100.00
Maxim Group LLC	1.88	0.00	0.00	1.88	874	0	0	874	0	0.00	0.00	100.00
XP Securities, LLC	1.59	0.00	0.00	1.59	740	0	0	740	0	0.00	0.00	100.00
SpeedRoute LLC.	1.14	0.00	0.00	1.14	533	0	0	533	0	0.00	0.00	100.00
Tethys Technology, Inc.	0.90	0.00	0.00	0.90	420	0	0	420	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

## Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100.00</b>	<b>0.00</b>	<b>0.00</b>	<b>100.00</b>	<b>3,689</b>	<b>0</b>	<b>0</b>	<b>3,689</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>100.00</b>
Clearpool Execution Services	34.02	0.00	0.00	34.02	1,255	0	0	1,255	0	0.00	0.00	100.00
BMO Capital Markets Corp.	29.47	0.00	0.00	29.47	1,087	0	0	1,087	0	0.00	0.00	100.00
Nesbitt Burns, Inc.	18.24	0.00	0.00	18.24	673	0	0	673	0	0.00	0.00	100.00
Tethys Technology, Inc.	10.14	0.00	0.00	10.14	374	0	0	374	0	0.00	0.00	100.00
NYSE Arca	6.67	0.00	0.00	6.67	246	0	0	246	0	0.00	0.00	100.00
SpeedRoute LLC.	5.75	0.00	0.00	5.75	212	0	0	212	0	0.00	0.00	100.00
VIRTU Americas LLC	3.85	0.00	0.00	3.85	142	0	0	142	0	0.00	0.00	100.00
ITG Inc.	1.90	0.00	0.00	1.90	70	0	0	70	0	0.00	0.00	100.00
Credit Suisse	1.49	0.00	0.00	1.49	55	0	0	55	0	0.00	0.00	100.00
	1.08	0.00	0.00	1.08	40	0	0	40	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

## Exchange-Listed Options

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100.00</b>	<b>18.98</b>	<b>34.32</b>	<b>46.70</b>	<b>2,439</b>	<b>463</b>	<b>837</b>	<b>1,139</b>	<b>0</b>	<b>18.98</b>	<b>34.32</b>	<b>46.70</b>
Puma Capital, LLC.	27.39	0.43	1.67	57.24	668	2	14	652	0	0.30	2.10	97.60
DePaola Trading Inc	23.94	46.65	33.33	7.81	584	216	279	89	0	36.99	47.77	15.24
DASH FINANCIAL TECHNOLOGIES LLC	22.59	3.67	55.32	6.23	551	17	463	71	0	3.09	84.03	12.89
BMO Capital Markets Corp.	10.46	6.70	5.73	15.45	255	31	48	176	0	12.16	18.82	69.02
NYSE MKT	7.01	20.30	7.53	1.23	171	94	63	14	0	54.97	36.84	8.19
ICAP Corporates LLC	3.73	0.00	0.24	7.81	91	0	2	89	0	0.00	2.20	97.80
Wolverine Execution Svc.,	3.73	9.29	1.91	2.81	91	43	16	32	0	47.25	17.58	35.16
GFI Group	1.80	0.00	0.24	3.69	44	0	2	42	0	0.00	4.55	95.45
Dynamex Trading	1.07	0.43	0.00	2.11	26	2	0	24	0	7.69	0.00	92.31
BAYW	0.53	1.73	0.24	0.26	13	8	2	3	0	61.54	15.38	23.08

100.00% of total customer orders were non-directed orders.

[Material Aspects of Relationship with Route Venues](#)  
[How to read this report](#)

\*Unknown is part of Other.

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