

BMO Capital Markets Corp. SEC Rule 606 Disclosure Statement

BMO Capital Markets Corp. ("BMO CMC" or "the Firm") is providing this report pursuant to Securities and Exchange Commission ("SEC" or "Commission") Rule 606 ("the Rule"). To obtain information about the rule, you may access the following web site addresses: <http://www.sec.gov/rules/final/34-43590.htm> and <http://www.sec.gov/interps/legal/mrslb13a.htm>.

Information Concerning Venues

BMO CMC makes a market in numerous equity securities, including those listed on the NASDAQ, NYSE, NYSE ARCA and BATS exchanges. BMO CMC, in its efforts to seek best execution, routes client orders to market centers, including national securities exchanges, alternative trading systems, electronic communication networks and broker/dealers. These market centers may offer credits/rebates or charge fees based upon whether routed orders contribute liquidity to (or remove liquidity from) the market center. In some cases, the credits/rebates offered by a market center may exceed the charges assessed such that a market center may make a payment to BMO CMC in relation to orders directed to such market center (maximum 34 mils per share). BMO CMC may also receive incremental pricing benefits from market centers based upon the aggregate trading volume generated by BMO CMC (including volume not associated with client orders).

BMO CMC may internalize, and trade as principal with, non-directed customer orders in such securities in which it makes a market. Where BMO CMC internalizes non-directed customer orders in those securities in which it makes a market, the Firm stands to share in 100% profits of whatever profits it generates by trading as principal with its customer's orders.

Notes regarding Conflicts of Interest relating to Market Centers Most Often Selected by the Firm

BMO CMC, in its efforts to seek best execution, selects execution venues for customer orders that have not been specifically instructed to be routed to a specific venue. For such non-directed customer orders, BMO CMC may route such orders to its affiliate, Clearpool Execution Services. Accordingly, the Firm may share indirectly in any profits that Clearpool Execution Services derives from the execution of the Firm's client orders.

Important Disclaimers

BMO CMC has supplied this data and prepared these reports solely for informational purposes pursuant to Rule 606 of the Securities Exchange Act of 1934. The information and data provided in the reports is not intended to and do not reflect all factors relevant to an analysis of a broker/dealer's best execution and order routing practices. In accordance with SEC Rule 606, upon written request, BMO CMC will disclose to clients the identity of the venue to which clients orders were routed for execution, whether the orders were directed or non-directed, and the time of the executions, if any, that resulted from such orders from the previous six months.

BMO Capital Markets Corp - Held NMS Stocks and Options Order Routing Public Report

Generated on Tue Jan 28 2025 11:48:40 GMT-0500 (Eastern Standard Time)

4th Quarter, 2024

October 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

October 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

October 2024

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE AMEX OPTIONS (AMXO) (AMEX)	12.12	0.00	0.00	0.00	12.12	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,157.5000	4.7176
CBOE EDGX OPTIONS EXCHANGE (EDGO) (EDGO)	9.90	0.00	0.00	0.00	9.90	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	662.1700	3.2136
BOX OPTIONS EXCHANGE (XBOX) (XBOX)	9.48	0.00	0.00	0.00	9.48	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	303.5500	1.3975
INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX) (XISX)	8.65	0.00	0.00	0.00	8.65	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	10.9600	0.0590
ISE MERCURY, LLC (MCRY) (MCRY)	8.65	0.00	0.00	0.00	8.65	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	411.3500	1.1936
CBOE GLOBAL MARKETS INC. (XCBO) (CBOE)	8.23	0.00	0.00	0.00	8.23	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	611.7825	3.7776
MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO) (MIAX)	8.02	0.00	0.00	0.00	8.02	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	687.0500	3.6365
MIAX EMERALD, LLC (EMLD) (EMLD)	7.12	0.00	0.00	0.00	7.12	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	551.0500	2.4763
NYSE ARCA OPTIONS (ARCO) (ARCA)	5.87	0.00	0.00	0.00	5.87	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-2,731.3300	-38.1417
ISE GEMINI EXCHANGE (GMNI) (GMNI)	5.52	0.00	0.00	0.00	5.52	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	74.6100	0.4360
PHILADELPHIA OPTIONS EXCHANGE (XPHO) (XPHO)	4.17	0.00	0.00	0.00	4.17	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	337.7100	16.0968
CBOE C2 OPTIONS EXCHANGE (C2OX) (C2OX)	3.78	0.00	0.00	0.00	3.78	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	513.6300	44.7803
MIAX SAPPHIRE, LLC (SPHR) (SPHR)	1.63	0.00	0.00	0.00	1.63	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-62.2200	-9.0700
MIAX PEARL, LLC (MPRL) (MPRL)	1.45	0.00	0.00	0.00	1.45	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,833.6400	59.7082
NASDAQ OPTIONS MARKET (XNDQ) (XNDQ)	1.39	0.00	0.00	0.00	1.39	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,087.5800	50.4978

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
MEMX OPTIONS (MEMX)	1.35	0.00	0.00	0.00	1.35	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,045.3000	51.0432
NASDAQ OMX BX OPTIONS (XBBO) (XBBO)	1.35	0.00	0.00	0.00	1.35	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	429.9300	55.6904
CBOE BZX OPTIONS EXCHANGE (BATO) (BATO)	1.32	0.00	0.00	0.00	1.32	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	970.1000	55.2449

Material Aspects:

NYSE AMEX OPTIONS (AMXO) (AMEX):

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1737755361/3832/>

CBOE EDGX OPTIONS EXCHANGE (EDGO) (EDGO):

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1737755361/3832/>

BOX OPTIONS EXCHANGE (XBOX) (XBOX):

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1737755361/3832/>

INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX) (XISX):

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1737755361/3832/>

ISE MERCURY, LLC (MCRY) (MCRY):

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1737755361/3832/>

CBOE GLOBAL MARKETS INC. (XCBO) (CBOE):

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1737755361/3832/>

MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO) (MIAX):

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1737755361/3832/>

NASDAQ OMX BX OPTIONS (XBXO) (XBXO):

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1737755361/3832/>

CBOE BZX OPTIONS EXCHANGE (BATO) (BATO):

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1737755361/3832/>

November 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

November 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Material Aspects:

November 2024

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CBOE EDGX OPTIONS EXCHANGE (EDGO) (EDGO)	10.48	0.00	0.00	0.00	10.48	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	808.6000	2.7618
NYSE AMEX OPTIONS (AMXO) (AMEX)	9.97	0.00	0.00	0.00	9.97	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	6,238.4000	9.1947
MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO) (MIAX)	9.41	0.00	0.00	0.00	9.41	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,305.8900	5.1431
INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX) (XISX)	8.78	0.00	0.00	0.00	8.78	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	39.1000	0.1635
CBOE GLOBAL MARKETS INC. (XCBO) (CBOE)	8.70	0.00	0.00	0.00	8.70	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	985.5375	4.3267
BOX OPTIONS EXCHANGE (XBOX) (XBOX)	8.59	0.00	0.00	0.00	8.59	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-1,135.4000	-3.9115
ISE MERCURY, LLC (MCRY) (MCRY)	8.19	0.00	0.00	0.00	8.19	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	766.4500	1.6638
ISE GEMINI EXCHANGE (GMNI) (GMNI)	6.32	0.00	0.00	0.00	6.32	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-904.4200	-3.9612
MIAX EMERALD, LLC (EMLD) (EMLD)	6.21	0.00	0.00	0.00	6.21	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,112.1500	3.8561
NYSE ARCA OPTIONS (ARCO) (ARCA)	5.54	0.00	0.00	0.00	5.54	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	224.2100	3.5589

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
PHILADELPHIA OPTIONS EXCHANGE (XPHO) (XPHO)	4.24	0.00	0.00	0.00	4.24	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,038.1700	16.8097
CBOE C2 OPTIONS EXCHANGE (C2OX) (C2OX)	2.24	0.00	0.00	0.00	2.24	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,363.6900	37.4846
MIAX SAPPHIRE, LLC (SPHR) (SPHR)	2.05	0.00	0.00	0.00	2.05	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
NASDAQ OPTIONS MARKET (XNDQ) (XNDQ)	2.02	0.00	0.00	0.00	2.02	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	3,197.7800	59.9509
MIAX PEARL, LLC (MPRL) (MPRL)	1.89	0.00	0.00	0.00	1.89	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,111.7000	63.0358
MEMX OPTIONS (MEMX)	1.81	0.00	0.00	0.00	1.81	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	3,096.1200	62.7253
NASDAQ OMX BX OPTIONS (XBXO) (XBXO)	1.81	0.00	0.00	0.00	1.81	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	733.3500	69.9094
CBOE BZX OPTIONS EXCHANGE (BATO) (BATO)	1.75	0.00	0.00	0.00	1.75	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,727.6000	67.1695

Material Aspects:

CBOE EDGX OPTIONS EXCHANGE (EDGO) (EDGO):

BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1737755361/3832/>

NYSE AMEX OPTIONS (AMXO) (AMEX):

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MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO) (MIAX):

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INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX) (XISX):

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CBOE GLOBAL MARKETS INC. (XCBO) (CBOE):

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MIAX PEARL, LLC (MPRL) (MPRL):

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NASDAQ OMX BX OPTIONS (XBXO) (XBXO):

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CBOE BZX OPTIONS EXCHANGE (BATO) (BATO):

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December 2024

S&P 500 Stocks Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

December 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

December 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CBOE EDGX OPTIONS EXCHANGE (EDGO) (EDGO)	10.96	0.00	0.00	0.00	10.96	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	657.5240	2.2506
MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO) (MIA)	10.38	0.00	0.00	0.00	10.38	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,036.9500	4.0156
BOX OPTIONS EXCHANGE (XBOX) (XBOX)	10.22	0.00	0.00	0.00	10.22	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	66.7000	0.2061
NYSE AMEX OPTIONS (AMXO) (AMEX)	9.87	0.00	0.00	0.00	9.87	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	9,219.1000	7.8078
INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX) (XISX)	8.54	0.00	0.00	0.00	8.54	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	15.0300	0.0494
NYSE ARCA OPTIONS (ARCO) (ARCA)	7.08	0.00	0.00	0.00	7.08	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	188.6200	2.0143

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
MIAX EMERALD, LLC (EMLD) (EMLD)	6.49	0.00	0.00	0.00	6.49	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,157.5500	3.4585
ISE GEMINI EXCHANGE (GMNI) (GMNI)	6.36	0.00	0.00	0.00	6.36	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,030.2900	4.3621
CBOE GLOBAL MARKETS INC. (XCBO) (CBOE)	6.22	0.00	0.00	0.00	6.22	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	915.7175	4.0902
ISE MERCURY, LLC (MCRY) (MCRY)	6.14	0.00	0.00	0.00	6.14	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	322.2500	0.7653
PHILADELPHIA OPTIONS EXCHANGE (XPHO) (XPHO)	3.19	0.00	0.00	0.00	3.19	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	934.0900	13.8281
CBOE C2 OPTIONS EXCHANGE (C2OX) (C2OX)	2.98	0.00	0.00	0.00	2.98	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,265.6200	29.2426
MIAX SAPPHIRE, LLC (SPHR) (SPHR)	2.76	0.00	0.00	0.00	2.76	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
NASDAQ OPTIONS MARKET (XNDQ) (XNDQ)	1.86	0.00	0.00	0.00	1.86	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	7,442.8500	51.3832
MEMX OPTIONS (MEMX)	1.80	0.00	0.00	0.00	1.80	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	3,750.7300	56.4954
CBOE BZX OPTIONS EXCHANGE (BATO) (BATO)	1.75	0.00	0.00	0.00	1.75	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,048.4000	54.0475
MIAX PEARL, LLC (MPRL) (MPRL)	1.73	0.00	0.00	0.00	1.73	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,758.4000	53.7072
NASDAQ OMX BX OPTIONS (XBXO) (XBXO)	1.67	0.00	0.00	0.00	1.67	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	766.7500	50.5772

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