

SEC Rule 606 Report - BMO Capital Markets - 2nd Qtr, 2017

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Stock Exchange Euronext

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.02	0.01	99.97	71,334	16	4	71,314	0	0.02	0.01	99.97
BMO Capital Markets Corp.	64.59	75.00	50.00	64.59	46,075	12	2	46,061	0	0.03	0.00	99.97
Nasdaq Execution Services	5.70	6.25	25.00	5.70	4,067	1	1	4,065	0	0.02	0.02	99.95
New York Stock Exch	4.57	12.50	0.00	4.56	3,257	2	0	3,255	0	0.06	0.00	99.94
KCG Americas LLC	3.46	0.00	0.00	3.46	2,466	0	0	2,466	0	0.00	0.00	100.00
Nesbitt Burns, Inc.	2.91	6.25	0.00	2.91	2,076	1	0	2,075	0	0.05	0.00	99.95
J.P.Morgan Securities LLC	2.19	0.00	0.00	2.19	1,565	0	0	1,565	0	0.00	0.00	100.00
CPEM	2.17	0.00	0.00	2.17	1,548	0	0	1,548	0	0.00	0.00	100.00
Tethys Technology, Inc.	2.02	0.00	0.00	2.02	1,440	0	0	1,440	0	0.00	0.00	100.00
NYS	1.84	0.00	0.00	1.84	1,309	0	0	1,309	0	0.00	0.00	100.00
EMIN	1.80	0.00	0.00	1.80	1,282	0	0	1,282	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.01	0.00	99.99	25,953	3	0	25,950	0	0.01	0.00	99.99
BMO Capital Markets Corp.	72.32	33.33	0.00	72.32	18,769	1	0	18,768	0	0.01	0.00	99.99
Nasdaq Execution Services	12.72	33.33	0.00	12.72	3,301	1	0	3,300	0	0.03	0.00	99.97
KCG Americas LLC	4.23	0.00	0.00	4.24	1,099	0	0	1,099	0	0.00	0.00	100.00
EMIN	1.78	0.00	0.00	1.78	461	0	0	461	0	0.00	0.00	100.00
CPEM	1.20	0.00	0.00	1.20	311	0	0	311	0	0.00	0.00	100.00
LIME	1.00	0.00	0.00	1.00	260	0	0	260	0	0.00	0.00	100.00
EPAR	0.96	0.00	0.00	0.96	249	0	0	249	0	0.00	0.00	100.00
ITG Inc.	0.88	0.00	0.00	0.88	229	0	0	229	0	0.00	0.00	100.00
NYSE Arca	0.86	0.00	0.00	0.86	222	0	0	222	0	0.00	0.00	100.00
Goldman, Sachs & Co	0.81	0.00	0.00	0.81	211	0	0	211	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.02	0.02	99.97	6,477	1	1	6,475	0	0.02	0.02	99.97
J.P.Morgan Securities LLC	37.75	0.00	0.00	37.76	2,445	0	0	2,445	0	0.00	0.00	100.00
BMO Capital Markets Corp.	32.07	0.00	0.00	32.08	2,077	0	0	2,077	0	0.00	0.00	100.00
Nesbitt Burns, Inc.	5.56	100.00	0.00	5.54	360	1	0	359	0	0.28	0.00	99.72
NYSE Arca	4.43	0.00	0.00	4.43	287	0	0	287	0	0.00	0.00	100.00
KCG Americas LLC	4.03	0.00	0.00	4.03	261	0	0	261	0	0.00	0.00	100.00
Tethys Technology, Inc.	3.12	0.00	0.00	3.12	202	0	0	202	0	0.00	0.00	100.00
EMIN	2.62	0.00	0.00	2.63	170	0	0	170	0	0.00	0.00	100.00
Credit Suisse	2.56	0.00	0.00	2.56	166	0	0	166	0	0.00	0.00	100.00
EPAR	1.05	0.00	0.00	1.05	68	0	0	68	0	0.00	0.00	100.00
ITG Inc.	1.00	0.00	0.00	1.00	65	0	0	65	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	8.63	69.95	21.41	2,433	210	1,702	521	0	8.63	69.95	21.41

DASH FINANCIAL TECHNOLOGIES LLC	34.90	6.67	44.42	15.16	849	14	756	79	0	1.65	89.05	9.31
NYSE MKT	24.95	47.14	18.45	37.24	607	99	314	194	0	16.31	51.73	31.96
Student Options LLC	10.48	14.76	10.69	8.06	255	31	182	42	0	12.16	71.37	16.47
Wolverine Execution Svc.,	10.03	11.43	12.46	1.54	244	24	212	8	0	9.84	86.89	3.28
BMO Capital Markets Corp.	6.37	1.43	7.46	4.80	155	3	127	25	0	1.94	81.94	16.13
ICAP Corporates LLC	4.27	1.90	4.76	3.65	104	4	81	19	0	3.85	77.88	18.27
Bloomberg Tradebook LLC	2.26	3.33	0.00	9.21	55	7	0	48	0	12.73	0.00	87.27
Dynamex Trading	1.44	1.90	0.00	5.95	35	4	0	31	0	11.43	0.00	88.57
Israel A. Englander & Co.	0.95	4.76	0.00	2.50	23	10	0	13	0	43.48	0.00	56.52
PUMX	0.82	2.38	0.00	2.88	20	5	0	15	0	25.00	0.00	75.00

100.00% of total customer orders were non-directed orders.

[Material Aspects of Relationship with Route Venues](#)
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*Unknown is part of Other.

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