

SEC Rule 606 Report - BMO Capital Markets - 1st Qtr, 2017

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Stock Exchange Euronext

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.06	0.02	99.92	45,117	27	8	45,082	0	0.06	0.02	99.92
BMO Capital Markets Corp.	56.26	96.30	12.50	56.24	25,382	26	1	25,355	0	0.10	0.00	99.89
Tethys Technology, Inc.	6.07	0.00	25.00	6.07	2,740	0	2	2,738	0	0.00	0.07	99.93
Nasdaq Execution Services	5.74	0.00	0.00	5.74	2,588	0	0	2,588	0	0.00	0.00	100.00
KCG Americas LLC	5.04	0.00	0.00	5.04	2,272	0	0	2,272	0	0.00	0.00	100.00
Nesbitt Burns, Inc.	4.30	0.00	62.50	4.29	1,938	0	5	1,933	0	0.00	0.26	99.74
New York Stock Exch	3.98	0.00	0.00	3.99	1,797	0	0	1,797	0	0.00	0.00	100.00
J.P.Morgan Securities LLC	3.73	0.00	0.00	3.73	1,681	0	0	1,681	0	0.00	0.00	100.00
Credit Suisse	3.43	0.00	0.00	3.44	1,549	0	0	1,549	0	0.00	0.00	100.00
EMIN	2.85	0.00	0.00	2.85	1,287	0	0	1,287	0	0.00	0.00	100.00
NYS	2.06	0.00	0.00	2.07	931	0	0	931	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.02	0.02	99.96	19,992	4	3	19,985	0	0.02	0.02	99.96
BMO Capital Markets Corp.	71.82	75.00	0.00	71.83	14,359	3	0	14,356	0	0.02	0.00	99.98
Nasdaq Execution Services	9.50	0.00	0.00	9.50	1,899	0	0	1,899	0	0.00	0.00	100.00
KCG Americas LLC	5.40	0.00	0.00	5.40	1,080	0	0	1,080	0	0.00	0.00	100.00
EMIN	1.86	0.00	0.00	1.86	372	0	0	372	0	0.00	0.00	100.00
LIME	1.67	0.00	0.00	1.67	334	0	0	334	0	0.00	0.00	100.00
Tethys Technology, Inc.	1.60	0.00	0.00	1.60	320	0	0	320	0	0.00	0.00	100.00
EPAR	1.51	0.00	0.00	1.51	302	0	0	302	0	0.00	0.00	100.00
Goldman, Sachs & Co	1.42	0.00	0.00	1.42	284	0	0	284	0	0.00	0.00	100.00
J.P.Morgan Securities LLC	1.35	0.00	0.00	1.35	270	0	0	270	0	0.00	0.00	100.00
Credit Suisse	1.24	0.00	0.00	1.24	248	0	0	248	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.04	0.09	99.88	5,712	2	5	5,705	0	0.04	0.09	99.88
J.P.Morgan Securities LLC	52.99	0.00	0.00	53.06	3,027	0	0	3,027	0	0.00	0.00	100.00
BMO Capital Markets Corp.	17.89	100.00	20.00	17.86	1,022	2	1	1,019	0	0.20	0.10	99.71
Nesbitt Burns, Inc.	6.86	0.00	0.00	6.87	392	0	0	392	0	0.00	0.00	100.00
Tethys Technology, Inc.	4.57	0.00	0.00	4.57	261	0	0	261	0	0.00	0.00	100.00
EMIN	3.92	0.00	0.00	3.93	224	0	0	224	0	0.00	0.00	100.00
NYSE Arca	3.62	0.00	0.00	3.63	207	0	0	207	0	0.00	0.00	100.00
KCG Americas LLC	2.21	0.00	0.00	2.21	126	0	0	126	0	0.00	0.00	100.00
SOR	1.12	0.00	0.00	1.12	64	0	0	64	0	0.00	0.00	100.00
LIME	1.00	0.00	0.00	1.00	57	0	0	57	0	0.00	0.00	100.00
ESTL	0.70	0.00	0.00	0.70	40	0	0	40	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	5.75	84.96	9.28	3,598	207	3,057	334	0	5.75	84.96	9.28
Wolverine Execution Svc.,	47.30	18.36	53.06	12.57	1,702	38	1,622	42	0	2.23	95.30	2.47
ELECTRONIC BROKERAGE SYST	18.51	6.76	17.86	31.74	666	14	546	106	0	2.10	81.98	15.92
BMO Capital Markets Corp.	16.54	2.90	18.71	5.09	595	6	572	17	0	1.01	96.13	2.86
NYSE MKT	12.62	58.45	7.56	30.54	454	121	231	102	0	26.65	50.88	22.47
Student Options LLC	2.64	7.73	1.83	6.89	95	16	56	23	0	16.84	58.95	24.21
ICAP Corporates LLC	0.75	2.90	0.43	2.40	27	6	13	8	0	22.22	48.15	29.63
Bay Crest Partners, LLC.	0.69	1.45	0.33	3.59	25	3	10	12	0	12.00	40.00	48.00
PUMX	0.36	0.97	0.13	2.10	13	2	4	7	0	15.38	30.77	53.85
Bloomberg Tradebook LLC	0.17	0.00	0.00	1.80	6	0	0	6	0	0.00	0.00	100.00
Dynamex Trading	0.17	0.00	0.00	1.80	6	0	0	6	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

[Material Aspects of Relationship with Route Venues](#)
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*Unknown is part of Other.

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