

**SEC Rule 606 Report - BMO Capital Markets - 3rd Qtr, 2019**

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

**Securities Listed on New York Stock Exchange Euronext**

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100.00</b>	<b>0.02</b>	<b>0.01</b>	<b>99.97</b>	<b>65,988</b>	<b>16</b>	<b>6</b>	<b>65,966</b>	<b>0</b>	<b>0.02</b>	<b>0.01</b>	<b>99.97</b>
Credit Suisse Securities (USA) LLC	32.74	0.00	0.00	32.75	21,603	0	0	21,603	0	0.00	0.00	100.00
New York Stock Exchange	21.68	18.75	16.67	21.68	14,303	3	1	14,299	0	0.02	0.01	99.97
NYSE MKT	20.50	0.00	0.00	20.51	13,529	0	0	13,529	0	0.00	0.00	100.00
SpeedRoute LLC.	9.95	0.00	0.00	9.96	6,569	0	0	6,569	0	0.00	0.00	100.00
Nesbitt Burns, Inc.	5.85	0.00	0.00	5.85	3,859	0	0	3,859	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

**Securities Listed on The Nasdaq Stock Market**

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100.00</b>	<b>0.00</b>	<b>0.00</b>	<b>100.00</b>	<b>44,000</b>	<b>0</b>	<b>2</b>	<b>43,998</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>100.00</b>
Credit Suisse Securities (USA) LLC	41.57	0.00	0.00	41.57	18,289	0	0	18,289	0	0.00	0.00	100.00
Nasdaq Execution Services	39.80	0.00	0.00	39.80	17,511	0	0	17,511	0	0.00	0.00	100.00
SpeedRoute LLC.	8.42	0.00	0.00	8.42	3,705	0	0	3,705	0	0.00	0.00	100.00
Clearpool Execution Services	5.55	0.00	0.00	5.55	2,440	0	0	2,440	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

**Securities Listed on NYSE MKT or other National Securities Exchange**

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100.00</b>	<b>0.00</b>	<b>0.00</b>	<b>100.00</b>	<b>2,903</b>	<b>0</b>	<b>0</b>	<b>2,903</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>100.00</b>
Nesbitt Burns, Inc.	26.25	0.00	0.00	26.25	762	0	0	762	0	0.00	0.00	100.00
SpeedRoute LLC.	19.29	0.00	0.00	19.29	560	0	0	560	0	0.00	0.00	100.00
Credit Suisse Securities (USA) LLC	14.74	0.00	0.00	14.74	428	0	0	428	0	0.00	0.00	100.00
NYSE MKT	13.71	0.00	0.00	13.71	398	0	0	398	0	0.00	0.00	100.00
Clearpool Execution Services	7.75	0.00	0.00	7.75	225	0	0	225	0	0.00	0.00	100.00
VIRTU Americas LLC	4.93	0.00	0.00	4.93	143	0	0	143	0	0.00	0.00	100.00
NYSE Arca	4.27	0.00	0.00	4.27	124	0	0	124	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

**Exchange-Listed Options**

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100.00</b>	<b>33.14</b>	<b>47.63</b>	<b>19.23</b>	<b>1,373</b>	<b>455</b>	<b>654</b>	<b>264</b>	<b>0</b>	<b>33.14</b>	<b>47.63</b>	<b>19.23</b>
NYSE MKT	40.50	85.05	15.60	25.38	556	387	102	67	0	69.60	18.35	12.05
DASH FINANCIAL TECHNOLOGIES LLC	25.93	1.32	46.48	17.42	356	6	304	46	0	1.69	85.39	12.92
Puma Capital, LLC.	11.43	3.08	9.02	31.82	157	14	59	84	0	8.92	37.58	53.50
International Securities Exchange	8.96	0.00	0.00	46.59	123	0	0	123	0	0.00	0.00	100.00
Wolverine Execution Svc.,	6.19	4.18	8.41	4.17	85	19	55	11	0	22.35	64.71	12.94

100.00% of total customer orders were non-directed orders.

[Material Aspects of Relationship with Route Venues](#)

[Material Aspects of Relationship with Route Venues-PDF material aspects](#)  
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\*Unknown is part of Other.

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