

BMO Capital Markets Corp. SEC Rule 606 Disclosure Statement

BMO Capital Markets Corp. ("BMO CMC" or "the Firm") is providing this report pursuant to Securities and Exchange Commission ("SEC" or "Commission") Rule 606 ("the Rule"). To obtain information about the rule, you may access the following web site addresses: <http://www.sec.gov/rules/final/34-43590.htm> and <http://www.sec.gov/interps/legal/mrslb13a.htm>.

Information Concerning Venues

BMO CMC makes a market in numerous equity securities, including those listed on the NASDAQ, NYSE, NYSE ARCA and BATS exchanges. BMO CMC, in its efforts to seek best execution, routes client orders to market centers, including national securities exchanges, alternative trading systems, electronic communication networks and broker/dealers. These market centers may offer credits/rebates or charge fees based upon whether routed orders contribute liquidity to (or remove liquidity from) the market center. In some cases, the credits/rebates offered by a market center may exceed the charges assessed such that a market center may make a payment to BMO CMC in relation to orders directed to such market center (maximum 34 mils per share). BMO CMC may also receive incremental pricing benefits from market centers based upon the aggregate trading volume generated by BMO CMC (including volume not associated with client orders).

BMO CMC may internalize, and trade as principal with, non-directed customer orders in such securities in which it makes a market. Where BMO CMC internalizes non-directed customer orders in those securities in which it makes a market, the Firm stands to share in 100% profits of whatever profits it generates by trading as principal with its customer's orders.

Notes regarding Conflicts of Interest relating to Market Centers Most Often Selected by the Firm

BMO CMC, in its efforts to seek best execution, selects execution venues for customer orders that have not been specifically instructed to be routed to a specific venue. For such non-directed customer orders, BMO CMC may route such orders to its affiliate, Clearpool Execution Services. Accordingly, the Firm may share indirectly in any profits that Clearpool Execution Services derives from the execution of the Firm's client orders.

Important Disclaimers

BMO CMC has supplied this data and prepared these reports solely for informational purposes pursuant to Rule 606 of the Securities Exchange Act of 1934. The information and data provided in the reports is not intended to and do not reflect all factors relevant to an analysis of a broker/dealer's best execution and order routing practices. In accordance with SEC Rule 606, upon written request, BMO CMC will disclose to clients the identity of the venue to which clients orders were routed for execution, whether the orders were directed or non-directed, and the time of the executions, if any, that resulted from such orders from the previous six months.

BMO Capital Markets Corp - Held NMS Stocks and Options Order Routing Public Report

Generated on Wed Apr 24 2024 09:15:38 GMT-0400 (Eastern Daylight Time)

1st Quarter, 2024

January 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

January 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

January 2024

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE AMEX OPTIONS (AMXO) (AMEX)	16.44	0.00	0.00	0.00	16.44	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	41,806.5300	10.1125
BOX OPTIONS EXCHANGE (XBOX) (XBOX)	9.64	0.00	0.00	0.00	9.64	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	561.8400	1.4004
CBOE EDGX OPTIONS EXCHANGE (EDGO) (EDGO)	8.91	0.00	0.00	0.00	8.91	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	993.9390	2.8255
INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX) (XISX)	8.86	0.00	0.00	0.00	8.86	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,048.0710	2.1957
MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO) (MIAX)	8.74	0.00	0.00	0.00	8.74	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,364.1000	8.0062
ISE MERCURY, LLC (MCRY) (MCRY)	6.56	0.00	0.00	0.00	6.56	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	194.2500	0.5206
CBOE GLOBAL MARKETS INC. (XCBO) (CBOE)	6.44	0.00	0.00	0.00	6.44	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,228.1800	3.8871
NYSE ARCA OPTIONS (ARCO) (ARCA)	6.10	0.00	0.00	0.00	6.10	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	70.4500	1.1602
MIAX EMERALD, LLC (EMLD) (EMLD)	5.86	0.00	0.00	0.00	5.86	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	622.3500	5.6189
PHILADELPHIA OPTIONS EXCHANGE (XPHO) (PHLX)	5.14	0.00	0.00	0.00	5.14	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	770.1400	11.6741
CBOE C2 OPTIONS EXCHANGE (C2OX) (C2OX)	4.12	0.00	0.00	0.00	4.12	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	394.5200	7.0766
ISE GEMINI EXCHANGE (GMNI) (GMNI)	3.77	0.00	0.00	0.00	3.77	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-1,103.5700	-10.0179
NASDAQ OPTIONS MARKET (XNDQ) (XNDQ)	3.37	0.00	0.00	0.00	3.37	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,274.9100	27.4824
MIAX PEARL, LLC (MPRL) (MPRL)	2.06	0.00	0.00	0.00	2.06	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,911.7200	57.9447
NASDAQ OMX BX OPTIONS (XBXO) (XBXO)	1.97	0.00	0.00	0.00	1.97	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	477.7400	46.9754

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CBOE BZX OPTIONS EXCHANGE (BATO) (BATO)	1.88	0.00	0.00	0.00	1.88	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,126.7000	53.2215
MEMX OPTIONS (MEMX)	0.14	0.00	0.00	0.00	0.14	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	26.2200	35.9178

Material Aspects:

NYSE AMEX OPTIONS (AMXO) (AMEX):

NYSE AMEX Options (AMEX): BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1713555828/3505/>

BOX OPTIONS EXCHANGE (XBOX) (XBOX):

Boston Options Exchange (XBOX): BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1713555828/3505/>

CBOE EDGX OPTIONS EXCHANGE (EDGO) (EDGO):

Chicago Board Options Exchange EDGX (EDGO): BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1713555828/3505/>

INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX) (XISX):

Nasdaq ISE (XISX): BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1713555828/3505/>

MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO) (MIAX):

Miami International Securities Exchange (XMIO): BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1713555828/3505/>

ISE MERCURY, LLC (MCRY) (MCRY):

Nasdaq GEMX (GMNI): BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1713555828/3505/>

CBOE GLOBAL MARKETS INC. (XCBO) (CBOE):

Chicago Board Options Exchange (XCBO): BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1713555828/3505/>

NYSE ARCA OPTIONS (ARCO) (ARCA):

MEMX Options (MEMX): BMOB routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOB with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOB receives from one or more such market centers may exceed the amount that BMOB is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOB, BMOB receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOB in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/171355828/3505/>

February 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

February 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

February 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX) (XISX)	13.24	0.00	0.00	0.00	13.24	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	566.5600	0.2970
CBOE EDGX OPTIONS EXCHANGE (EDGO) (EDGO)	12.79	0.00	0.00	0.00	12.79	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	531.2490	0.4326
NYSE AMEX OPTIONS (AMXO) (AMEX)	12.07	0.00	0.00	0.00	12.07	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	24,730.4000	6.3015
CBOE GLOBAL MARKETS INC. (XCBO) (CBOE)	10.91	0.00	0.00	0.00	10.91	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	701.6620	0.5902
BOX OPTIONS EXCHANGE (XBOX) (XBOX)	7.80	0.00	0.00	0.00	7.80	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	202.2000	0.7266
MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO) (MIAX)	7.02	0.00	0.00	0.00	7.02	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	508.2700	2.2797
ISE MERCURY, LLC (MCRY) (MCRY)	5.51	0.00	0.00	0.00	5.51	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	594.1000	1.2991
NYSE ARCA OPTIONS (ARCO) (ARCA)	4.93	0.00	0.00	0.00	4.93	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	531.3100	12.5992
PHILADELPHIA OPTIONS EXCHANGE (XPHO) (PHLX)	4.78	0.00	0.00	0.00	4.78	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	585.4800	6.8246
ISE GEMINI EXCHANGE (GMNI) (GMNI)	4.06	0.00	0.00	0.00	4.06	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-375.8000	-4.4648
MIAX EMERALD, LLC (EMLD) (EMLD)	3.45	0.00	0.00	0.00	3.45	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,255.9500	32.1956
CBOE C2 OPTIONS EXCHANGE (C2OX) (C2OX)	3.39	0.00	0.00	0.00	3.39	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	991.9600	15.5407
NASDAQ OPTIONS MARKET (XNDQ) (XNDQ)	2.49	0.00	0.00	0.00	2.49	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,771.6900	23.2536
NASDAQ OMX BX OPTIONS (XBXO) (XBXO)	2.20	0.00	0.00	0.00	2.20	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,339.9300	64.5128
MIAX PEARL, LLC (MPRL) (MPRL)	2.14	0.00	0.00	0.00	2.14	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	6,515.8500	49.4337

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CBOE BZX OPTIONS EXCHANGE (BATO) (BATO)	2.06	0.00	0.00	0.00	2.06	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,297.9200	53.1065
MEMX OPTIONS (MEMX)	1.16	0.00	0.00	0.00	1.16	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	161.0800	16.0438

Material Aspects:

INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX) (XISX):

Nasdaq ISE (XISX): BMOC routes orders to various exchanges, alternative trading systems, including electronic communications networks, and other market centers including other Broker Dealers some of which provide BMOC with payment for order flow. Certain market centers offer cash credits for orders that provide liquidity to their books and charge explicit fees for orders that extract liquidity from their books. Periodically, the amount of credits that BMOC receives from one or more such market centers may exceed the amount that BMOC is charged. Such payments would constitute payment for order flow. Please be advised that for orders received by BMOC, BMOC receives order flow payments in varying amounts from US option exchanges market makers pursuant to the published marketing fee programs that have been adopted by the exchanges and approved by the SEC. Several option Exchanges have also adopted a maker taker market structure, in which exchange members are charged for orders that take liquidity from the exchange and receive a rebate for orders that provide liquidity to the exchange. The charges imposed or rebates offered by these exchanges affect the total cost of execution. The source and amount of any compensation received by BMOC in connection with any transaction for your account is available upon written request. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://capitalmarkets.bmo.com/filer/sharing/1713555828/3505/>

CBOE EDGX OPTIONS EXCHANGE (EDGO) (EDGO):

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CBOE GLOBAL MARKETS INC. (XCBO) (CBOE):

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MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO) (MIAX):

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NYSE ARCA OPTIONS (ARCO) (ARCA):

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March 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

March 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

March 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE AMEX OPTIONS (AMXO) (AMEX)	48.00	0.00	0.00	0.00	48.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	12,764.2000	4.0627
INTERNATIONAL SECURITIES EXCHANGE, LLC (XISX) (XISX)	11.88	0.00	0.00	0.00	11.88	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	259.4900	0.3339
CBOE EDGX OPTIONS EXCHANGE (EDGO) (EDGO)	11.07	0.00	0.00	0.00	11.07	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	493.2900	0.6794
CBOE GLOBAL MARKETS INC. (XCBO) (CBOE)	7.27	0.00	0.00	0.00	7.27	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	452.9930	0.9502
ISE MERCURY, LLC (MCRY) (MCRY)	5.07	0.00	0.00	0.00	5.07	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	145.3000	0.4367
BOX OPTIONS EXCHANGE (XBOX) (XBOX)	4.15	0.00	0.00	0.00	4.15	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	421.5500	1.5488
MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO) (MIAX)	3.00	0.00	0.00	0.00	3.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	193.9500	0.9835
NYSE ARCA OPTIONS (ARCO) (ARCA)	2.51	0.00	0.00	0.00	2.51	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-3,145.9200	-19.0743
MIAX PEARL, LLC (MPRL) (MPRL)	1.71	0.00	0.00	0.00	1.71	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	6,007.8700	53.4556
CBOE C2 OPTIONS EXCHANGE (C2OX) (C2OX)	1.46	0.00	0.00	0.00	1.46	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	3,256.9300	33.8664
CBOE BZX OPTIONS EXCHANGE (BATO) (BATO)	1.11	0.00	0.00	0.00	1.11	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-917.9000	-12.6137
MIAX EMERALD, LLC (EMLD) (EMLD)	0.83	0.00	0.00	0.00	0.83	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,569.3500	28.6587
PHILADELPHIA OPTIONS EXCHANGE (XPHO) (PHLX)	0.63	0.00	0.00	0.00	0.63	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	226.6700	5.4318
NASDAQ OPTIONS MARKET (XNDQ) (XNDQ)	0.46	0.00	0.00	0.00	0.46	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,660.5900	54.8050
ISE GEMINI EXCHANGE (GMNI) (GMNI)	0.35	0.00	0.00	0.00	0.35	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1,131.4000	49.3200

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NASDAQ OMX BX OPTIONS (XBXO) (XBXO)	0.26	0.00	0.00	0.00	0.26	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	650.2300	38.0697
MEMX OPTIONS (MEMX)	0.24	0.00	0.00	0.00	0.24	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	788.0900	49.0716

Material Aspects:

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